

Section

Attorney Docket No.: DUPONT1120-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: Michael J. Penberth, et al.  
Serial No. 09/262,778  
Filing Date: March 4, 1999  
Group Art No. 1752  
Title METHOD AND SYSTEM FOR CALIBRATING THE  
SCAN AMPLITUDE OF AN ELECTRON BEAM  
LITHOGRAPHY INSTRUMENT

**BOX Missing Parts**

Assistant Commissioner of Patents  
Washington, D.C. 20231

**CERTIFICATION UNDER 37 CFR §1.8**

I hereby certify that the documents listed below are being deposited with the United States Postal Services as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on April 20, 1999.

Janice Di Petta  
Janice Di Petta

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Dear Sir:

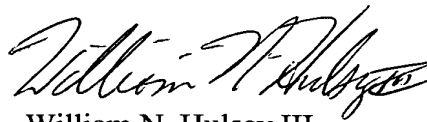
In response to the Notice to File Missing Parts of Application which was granted a Filing Date of March 4, 1999, and mailed to us on March 25, 1999, applicants submit herewith:

1. A copy of the Notice to File Missing Parts of Application;
2. A fully executed Declaration and Power of Attorney;
3. A check in the amount of \$130.00 representing the surcharge set forth in 37 C.F.R. 1.27; and
4. A fully executed Assignment and Recordation Cover Sheet, along with a check in the amount of \$40.00 representing payment of the recordation fee.

The Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 50-0456 of Gray Cary Ware & Freidenrich, LLP.

Respectfully submitted,

GRAY CARY WARE ▲ FREIDENRICH, LLP  
Attorneys for Applicant



William N. Hulsey III  
Registration No. 33,402

Date: April 17, 1999

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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

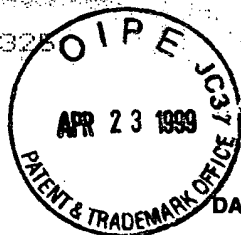
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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097262,778 03/04/99 PENBERTH

M DUPONT I120-1

WILLIAM N HULSEY III  
GRAY CARY WARE & FREIDENRICH  
100 CONGRESS AVENUE SUITE 1440  
AUSTIN TX 78701

0232/0325



NOT ASSIGNED

1752

DATE MAILED:

03/25/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

*If all required items on this form are filed within the period set above, the total amount owed by applicant as a*

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

*Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*

☐ 2. Additional claim fees of \$\_\_\_\_\_, including any multiple dependent claim fees, are required.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

*Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.*

☒ 3. The oath or declaration:

- ☐ is missing or unexecuted.
- ☒ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

*An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.*

☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

*An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.*

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

*See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."*

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

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